

Attorney Docket # 5367-239PUS

Patent

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Tony ALBRECHT et al.

Serial No.: 10/579,528

Filed: February 27, 2007

For: VCSEL Pumped in a Monolithically Optical  
Manner and Comprising a Laterally Applied Edge  
Emitter

Group Art: 2828

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

Attached is a copy of the official filing receipt received from the U.S. Patent and Trademark Office in the above-identified application.

There is an error in:

- Applicants' address
- Title
- Filing Date
- Serial Number
- Priority Data (country, number)
- Incorrect Priority Date
- Other [pls specify]

should read as follows:

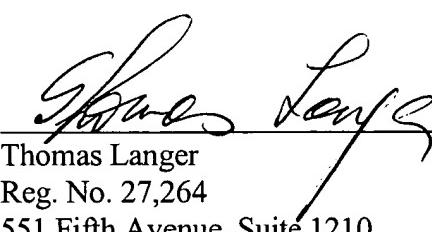
**REGENSBURG, GERMANY**

A copy of the filing receipt is submitted herewith on which the requested change is entered in red. Enclosed please also find the Combined Declaration for viewing upon correction.

It is respectfully requested that a corrected filing receipt be issued.

Respectfully submitted,  
COHEN PONTANI LIEBERMAN & PAVANE LLP

By:

  
Thomas Langer  
Reg. No. 27,264  
551 Fifth Avenue, Suite 1210  
New York, New York 10176  
(212) 687-2770

Dated: November 20, 2008



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
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APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	TOT CLMS	IND CLMS
10/579,528	02/27/2007	2828	1030	5367-239PUS	19	1

27799  
COHEN, PONTANI, LIEBERMAN & PAVANE  
551 FIFTH AVENUE  
SUITE 1210  
NEW YORK, NY 10176

CONFIRMATION NO. 8420

## FILING RECEIPT



\*OC000000024303284\*

Date Mailed: 06/14/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

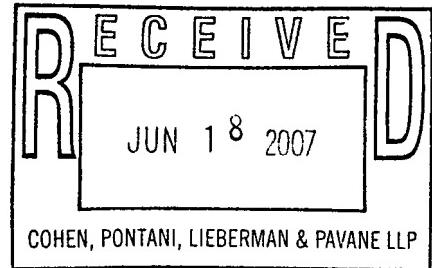
### Applicant(s)

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### Assignment For Published Patent Application

Osram Opto Semiconductors GmbH, Regensburg, GERMANY

Power of Attorney: The patent practitioners associated with Customer Number **27799**.



### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/DE04/02477 11/09/2004

### Foreign Applications

GERMANY 103 53 216.1 11/13/2003

If Required, Foreign Filing License Granted: 06/09/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/579,528**

Projected Publication Date: 09/20/2007

P07908US00

**Non-Publication Request: No**

**Early Publication Request: No**

**Title**

VcSEL Pumped In A Monolithically Optical Manner And Comprising A Laterally Applied Edge Emitter

**Preliminary Class**

372

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

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**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**  
 (Includes Reference to PCT International Applications)

Attorney's Docket No.  
 5367-239PUS

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**VCSEL PUMPED IN A MONOLITHICALLY OPTICAL MANNER AND COMPRISING A LATERALLY APPLIED EDGE  
 EMITTER**

the specification of which (check only one item below)

- is attached hereto  
 was filed as United States application

Serial No. 10/579,528

on May 15, 2006

and was amended by Preliminary Amendment filed concurrently with the application.  
 on    (if applicable).

was filed as PCT international application

Number PCT/DE2004/002477

on 9 November 2004

and was amended under PCT Article 19

on    (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.58, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

**PRIOR FOREIGN/PCT APPLICATIONS AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:**

Country (if PCT, indicate "PCT")	Application Number	Date of Filing (day, month, year)	Priority Claimed Under 35 U.S.C. 119	
Germany	103 53 216.1	13 November 2003	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO
PCT	PCT/DE2004/002477	9 November 2004	<input type="checkbox"/> YES	<input checked="" type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO
			<input type="checkbox"/> YES	<input type="checkbox"/> NO

<b>COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY</b> (Includes Reference to PCT International Applications)		Attorney's Docket No. <b>5367-239PUS</b>
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**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) at Cohen, Pontani, Lieberman & Pavane to prosecute this application and transact all business in the Patent and Trademark Office connected therewith  
**Customer number 27799**

Send correspondence to: <i>Cohen Pontani Lieberman &amp; Pavane LLP</i> at the address for the following customer Number: <b>27799</b>	Direct Telephone calls to: (name and telephone number) Thomas Langer (212) 687-2770
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY (Includes Reference to PCT International Applications)		Attorney's Docket No. 5367-239PUS
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DATE <i>January 17<sup>th</sup>, 2007</i>	DATE <i>January 17<sup>th</sup>, 2007</i>	DATE <i>January 17<sup>th</sup>, 2007</i>
SIGNATURE OF INVENTOR 204	SIGNATURE OF INVENTOR 205	SIGNATURE OF INVENTOR 206
DATE	DATE	DATE

Additional inventor(s) name(s) & address(es) attached?  Yes  No